UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	_
x In re	: Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	: 08-13555 (JMP)
Debtors.	: (Jointly Administered) :
x	Ref. Docket No. 34680, 35018, 35180, 35282, 35311, 35312, 35366, 35368, 35371, 35373, 35383, 35395, 35398, 35405-35414, 35416, 35417, 35423-35431, 35436-35438, 35446-35451, 35494, 35495, 35517

## **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK	)	
	)	SS.
COUNTY OF NEW YORK	)	

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

- 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On February 28, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

	/s/ Lauren Rodriguez
Sworn to before me this 5 <sup>th</sup> day of March, 2013	Lauren Rodriguez
Notary Public, State of New York No. 01MU6220179 Qualified in Queens County Commission Expires April 12, 2014	

**EXHIBIT** A

# 08-13555-mg Doc 35721 Filed 03/05/13 Entered 03/05/13 14:57:39 Main Document Pg 3 of 8

UNITED STATES BANKRUPTCY COURT Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, transferor refers to the claimant who is selling or otherwise assigning its claim, while transferee refers to the party who is purchasing or otherwise being assigned the claim.

TO: ADVENTIST HEALTH SYSTEM/SUNBELT, INC., ET AL MARK BLOCK 900 HOPE WAY ALTAMONTE SPRINGS FL 32714 ADVENTIST HEALTH SYSTEM/SUNBELT, INC., ET AL MICHAEL L. BERNSTEIN ARNOLD & PORTER LLP 555 TWELFTH STREET, N.W. WASHINGTON DC 20004-1206

Please note that your claim # 67766 in the above referenced case and in the amount of \$4,500,000.00 Unliquidated has been transferred (unless previously expunsed by court order)

LEHMAN BROTHERS SPECIAL FINANCING INC.
TRANSFEROR: ADVENTIST HEALTH SYSTEM/SUNBELT, INC., ET AL
ATTN: LEHMAN BROTHERS, DERIVATIVES LEGAL
1271 SIXTH AVE.
NEW YORK NY 10020

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT Southern District of New York One Bowling Green New York,NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 35517 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 02/28/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 28, 2013.

EXHIBIT B

# 08-13555-mg Pg 5 of 8

BROTHERS HOLDING

J.P. MORGAN SECURITIES LLC, FKA JP MORGAN SECURITIES INC ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE FL NEW YORK NY 10022 TRANSFEROR: MERRILL LYNCH INTERNATIONAL ATTN: ITHRAN OLIVACCE C/O NEW FINANCE ALDEN SPV 885 THIRD AVE 34TH FL NEW YORK NY 10022 THIRD AVENUE DEUTSCHE BANK AG, LONDON BRANCH ATTN: CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022 TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 J.P. MORGAN SECURITIES LLC, FORMERLY KNOWN AS ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE TRANSFEROR: J.P. MORGAN SECURITIES LLC, FORMERLY KNOWN AS ATTN: CHRIS SCHOLFIELD ALDEN GLOBAL CAPITAL 885 THIRD AVENUE FCDB LBU 2009 LLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022 TRANSFEROR: JPWORGAN CHASE BANK, N.A. ALDEN GLOBAL CAPITAL; ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 TRANSFEROR: JPWORGAN CHASE BANK, N.A. ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 885 GOLDMAN SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 GOLDMAN, SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOFIELD 885 THIRD AVENUE NEW YORK NY 10022 GOLDMAN, SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE NEW YORK NY 10022 NY 10022 J.P. MORGAN SECURITIES LID. CHRIS SCHOLFIELD ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022 GOLDMAN, SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE NEW YORK NY 10022 TRANSFEROR: MERRILL LYNCH INTERNATIONAL CHRIS SCHOFIELD ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022 GOLDMAN SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE NEW YORK NY 10022 SCHOLFIELD TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 FRANSFEROR: J.P. MORGAN SECURITIES LID. C/O ALDEN GLOBAL CAPITAL ATIN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH BARCLAYS BANK PLC C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK FRANSFEROR: ILLIQUIDX LTD ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022 C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS BARCLAYS BANK PLC ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE 34TH FLOOR NEW YORK NY 10022 20004-1206 DC WASHINGTON N.W. LP. STREET, FUND, ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER TWELFTH MARK BLOCK 900 HOPE WAY ALTAMONTE SPRINGS FL 32714 552  $\Gamma\Gamma$ ARNOLD & PORTER BERNSTEIN 10022 NEW YORK NY 10022 10022 TRANSFEROR: P NEW YORK NY : TRANSFEROR: B NEW YORK NY NEW YORK NY TRANSFEROR: i. MICHAEL ADVENTIST HEALTH SYSTEM/SUNBELT, INC., ALDEN GLOBAL DISTRESSED OPPORTUNITIES DISTRESSED OPPORTUNITIES ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, LP MASTER FUND, LP

EPIQ BANKRUPTCY SOLUTIONS, LLC

THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022

TRANSFEROR: METHOD INVESTMENTS & ADVISORY LTD C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022

LLC ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022

TRANSFEROR: ODDO & CIE C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885

TRANSFEROR: MORGAN STANLEY & CO.

ALDEN GLOBAL DISTRESSED OPPORTUNITIES ALDEN GLOBAL DISTRESSED OPPORTUNITIES ALDEN GLOBAL DISTRESSED OPPORTUNITIES

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TRANSFEROR: METHOD INVESTMENTS & ADVISORY LTD C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOFIELD 885 THIRD AVE NEW YORK NY 10022

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SERENGETI OPPORTUNITIES MM, L.P. C/O SERENGETI ASSET MGWT - ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 SERENGETI OVERSEAS MM L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR

SERENGETI PARTNERS LP C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 BARCLAYS BANK PLC C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 BARCLAYS BANK PLC C/O SERENGETI ASSET MANAGEMENT LP ATTN: SHAKER CHOUDHURY 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 DEUTSCHE BANK AG C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 ILLIQUIDX LLP C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10022

SERENGETI OPPORTUNITIES PARTNERS, LP C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR

SERENGETI OPPORTUNITIES PARTNERS, LP C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHOUDHURY; 632 BROADWAY, 12TH FL

BARCIAYS BANK PLC C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012 GOLDMAN SACHS & CO. C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FL NEW YORK NY 10012 GOLDMAN, SACHS & CO. C/O SERENGETI ASSET MANAGEMENT LP ATTN: S CHOUDHURY; 632 BROADWAY, 12TH FL NEW YORK NY 10012 SERENGETI OPPORTUNITIES PARTNERS LP C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR

5TH FLOOR

FLOOR JERSEY CITY NJ 07302

SACHS & CO. 30 HUDSON STREET, 5TH FLOOR JERSEY CITY N SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET,

# Doc 35721 08-13555-mg TRANSFEROR: ADVENTIST HEALTH SYSTEM/SUNBELT, INC., ET AL ATTN: LEHMAN BROTHERS, DERIVATIVES LEGAL 1271 SIXTH AVE. NEW YORK NY 10020 TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. C/O YORK CAPITAL MANAGEMENT ATTN: MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. GOLDMAN, SACHS LENDING PARTNERS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR

# LEHMAN BROTHERS SPECIAL FINANCING INC LYXOR/YORK FUND, LTD HBK MASTER FUND L.P BANCA S.P.A. ICCREA BANCA S.P.A. ICCREA BANCA S.P.A. ILLIQUIDX LLP ILLIQUIDX LLP ICCREA

# MACQUARIE BANK LIMITED

NEW YORK NY 10153

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RICHARDS KIBBE & ORBE L.L.P. ATTN: WANAGING CLERK ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
ATTN: MANAGING CLERK C/O RICHARDS KIBBE & ORBE L.L.P. ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
ATTN: MANAGING CLERK RICHARDS KIBBE & ORBE LLP ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
MANAGING CLERK RICHARDS KIBBE & ORBE LLP ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
TRANSFEROR: BANCO COOPERATIVO ESPANOL, S.A. 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA UNITED KINGDOM
TRANSFEROR: BANCO COOPERATIO & CO., INC. 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA UNITED KINGDOM
TRANSFEROR: MITSUBLEHI UFU WORGAN STANLEY SECURITIES CO., LID. 25 CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND
TRANSFEROR: SHAN-SHAN, LIN CHENG 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND
TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P. C/O SERENGETI ASSET MGWT - ERIN FINEGAN 632 BROADWAY, 12TH FLOOR
TRANSFEROR: SERENGETI OPPORTUNITIES MM, L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR JAIME SENIOR SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019 TRANSFEROR: NOMURA GLOBAL FINANCIAL PRODUCTS, INC. C/O MACQUARIE CAPITAL (USA) INC. ATTN: FICC CREDIT SALES & TRADING 125 WEST 55TH STREET NEW YORK NY 10019 PIERCE, FENNER & SMITH, INC PLC PLC PLC PLC PLC PLC CO. INTERNATIONAL INTERNATIONAL INTERNATIONAL INTERNATIONAL INTERNATIONAL INTERNATIONAL INTERNATIONAL INTERNATIONAL MACQUARIE BANK LIMITED RAPAX OC MASTER FUND, RAPAX OC MASTER FUND, MORGAN STANLEY & CO. MORGAN STANLEY MERRILL LYNCH,

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LEHMAN BROTHERS HOLDING

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WATERSTONE MKT NEUTRAL MASTER FUND, LTD C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR

EMPYREAN INVESTWENTS, LLC C/O GOLDWAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR

TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302 TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302

TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, TRANSFEROR: ASHTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET,

WATERSTONE OFFSHORE AD FUND, LTD. C/O GOLDMAN, WATERSTONE OFFSHORE AD FUND, LTD. C/O GOLDMAN,

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PARTNERS

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GOLDMAN SACHS LENDING PARTNERS LLC

ATTN: C.A. LEGAL DEPARTMENT, ANTONIO TORRE & CLAUDIA PRIVITERA VIA LUCREZIA ROMANA 41/47 ROMA 00178 ITALY TRANSFEROR: CREDITO EMILIANO SPA ATTN: LEGAL DEPARTMENT VIA LUCREZIA ROMANA 41/47 ROMA T 00178 ITALY

TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS IX 75201 ORRICK, HERRINGTON & SUTCLIFFE LLP ATTN: ALYSSA D. ENGLUND, ESQ. 666 FIFTH AVENUE NEW YORK NY 10103

ROOM 2803, 28/F UNIVERSAL TRADE CENTRE 3-5 ARBUTHNOT ROAD CENTRAL HONG KONG

JERSEY CITY NJ 07302

GREAT SOUND FINANCE LIMITED

TRANSFEROR: BANCA ESPERIA SPA ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM

ICCREA BANCA S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM

EPIQ BANKRUPTCY SOLUTIONS,

TIME: 10:39:47 DATE: 02/28/13

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Name	OPPORTUNITIES OPPORTUNITIES	OPPORTUNITIES MM, L.P.	OPPORTUNITIES PARTNERS I OPPORTUNITIES PARTNERS,	SERENGETI OPPORTUNITIES PARTNERS, LP SERENGETI OPPORTUNITIES PARTNERS, LP	SERENGETI OPPORTUNITIES PARTNERS, LP	OPPORTUNITIES PARTNERS,	SERENGETI OPPORTUNITIES PARTNERS, LP SERENGETI OPPORTUNITIES PARTNERS, LP	SERENGETI OPPORTUNITIES PARTNERS, LP	OPPORTUNITIES	OPPORTUNITIES PARTNERS,	SERENGETI OVERSEAS MM L.P.	SERENGETI PARTNERS LP	SHAN-SHAN, LIN CHENG SOLA LTD		SOLUS CORE OPPORTUNITIES MASTER FUND	TURNPIKE LIMITED	ULTRA MASTER LTD	WATERSTONE MARKET NEITTRAI. MASTER FIIND	LTD.	WATERSTONE MARKET NEUTRAL MASTER FUND, LTD.	WATERSTONE MF FUND, LTD.	WATERSTONE MF FUND, LTD.	WATERSTONE MKT NEUTRAL MASTER FUND, LTD	WATERSTONE OFFSHORE AD FUND, LTD.	WATERSTONE OFFSHORE AD FUND, LTD.	YORK GLOBAL FINANCE BDH, LLC	Total Number of Records Printed

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